

CAESAR, RIVISE, BERNSTEIN,  
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Attorney Docket No.:

**PATENT APPLICATION**

K1008/20399

Date: September 5, 2000

**AMENDMENT TRANSMITTAL**

In re the Application of Douglas G. Evans and John E. Nash

Application No.: 09/369,107

Filed: August 5, 1999

Group Art Unit: 3731

Examiner: Kevin Truong

For: TRANSMYOCARDIAL REVASCULARIZATION SYSTEM AND METHOD OF USE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

- ☒ Transmitted herewith is an Amendment in the above-identified application.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☒ The filing fee has been calculated as shown below:

(Column 1)				(Column 2)		(Column 3)		SMALL ENTITY		O R	OTHER THAN A SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT				HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	ADD'L FEE		RATE	ADD'L FEE
TOTAL CLAIMS				* MINUS	** 32	=	0	x 9	\$ 0	O R	x 18	\$
INDEP CLAIMS				* MINUS	*** 3	=	0	x 39	\$ 0		x 78	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM								+130	\$ 0		+260	\$
								\$ 0			\$	

\* If the entry in Column 1 is less than the entry in Column 2, write "0" in Column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" in this space (Total or Independent) is the highest number found from the equivalent box in Column 1 of a prior Amendment or the number of claims originally filed.

The Commissioner is hereby authorized to charge payment of any further filing fees under 37 C.F.R. §1.16 for presentation of extra claims or credit any overpayment to Deposit Account No. 03-0075. A duplicate copy of this sheet is attached if any fees are calculated to be due.

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN  
COHEN & POKOTILOW, LTD.

By

Barry A. Stein  
Reg. No. 25,257

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